

02570 U.S. PTO  
031904

# UTILITY PATENT APPLICATION TRANSMITTAL

Submit an original and a duplicate for fee processing  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Attorney Docket No. 02-184-C

First Named Inventor Burns

Express Mail No. EV334694945US

22581 U.S. PTO  
10/804631

031904

## APPLICATION ELEMENTS

1. ☒ Transmittal Form with Fee
2. ☒ Specification (including claims and abstract) [Total Pages 71]
3. ☒ Drawings [Total Sheets 17]
4. ☒ Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed
  - b. ☐ Copy from prior application

[Note Boxes 5 and 18 below]

  - i. ☐ Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application
5. ☐ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Application Data Sheet (See 37 CFR 1.76)
7. ☐ Computer Code Listing (See 1.96)
  - a. ☐ Microfiche Appendix
  - b. ☐ CD-Rom (in duplicate, with separate transmittal)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy
  - c. ☐ Statement verifying above copies
9. ☒ Small Entity Status
  - ☒ is claimed
  - ☐ Statement filed in prior application; status still proper and desired
  - ☐ is no longer claimed.

## ACCOMPANYING APPLICATION PARTS

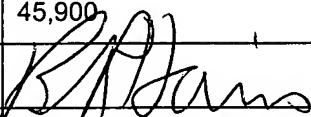
10. ☒ Assignment Papers
11. ☒ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ Information Disclosure Statement (IDS)
  - ☐ PTO-1449 Form
  - ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard (Should be specifically itemized)
16. ☐ Certified Copy of Priority Document(s)
17. ☒ A Request for non-publication pursuant to 35 U.S.C. § 122(b)(2)(B)(i)
18. ☒ Other: application Data Sheet, Recordation Form Cover Sheet

19. ☒ This is a CONTINUING APPLICATION. Please note the following:

- a. ☒ This is a ☐ Continuation ☐ Divisional ☒ Continuation-in-part of prior U.S. Patent Application Serial No. 10/137,979 filed May 3, 2002.
- b. ☐ Cancel in this application original claims of the prior application before calculating the filing fee. (At least one claim must remain.)
- c. ☐ Amend the specification by inserting before the first line the sentence:  
This is a ☐ continuation ☐ divisional ☐ continuation-in-part of application Serial No.
- d. ☐ The prior application is assigned of record to

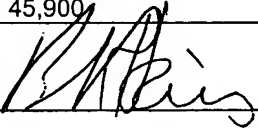
## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 02-184-C

APPLICATION FEES				
BASIC FEE				\$ 770.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	31 -20 =	11	x \$18.00	\$ 198.00
Independent Claims	3 - 3 =	0	x \$86.00	\$
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+\$290.00	\$
Total of above calculations =				\$ 968.00
Reduction by 50% for filing by small entity =				\$(484.00)
<input type="checkbox"/> Assignment fee if applicable			+ \$40.00	\$ 40.00
TOTAL =				\$ 524.00
20. <input type="checkbox"/> Please charge my Deposit Account No. 13-2490 in the amount of \$				
21. <input checked="" type="checkbox"/> A check in the amount of \$524.00 is enclosed.				
22. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 13-2490:				
a.	<input checked="" type="checkbox"/>	Fees required under 37 CFR 1.16.		
b.	<input checked="" type="checkbox"/>	Fees required under 37 CFR 1.17.		
c.	<input checked="" type="checkbox"/>	Fees required under 37 CFR 1.18.		
23. The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 13-2490 for any fee that may be due in connection with such a request for an extension of time.				
24. CERTIFICATE OF MAILING				
I hereby certify that, under 37 CFR § 1.10, I directed that the correspondence identified above be deposited with the United States Postal Service as "Express Mail Post Office to Addressee," addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date indicated below.				
25. USPTO CUSTOMER NUMBER				
39310				
Trading Technologies International, Inc.				
26. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED				
Name	Brian R. Harris			
Reg. No.	45,900			
Signature				
Date	March 19, 2004			

UTIL (Rev. 11/21/00)

<p align="center"><b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b></p>	<p>Attorney Docket No. 02-184-C</p> <p>First Named Inventor Michael J. Burns</p> <p>Express Mail Label No. EV334694945US</p>
<p>I hereby certify that the invention disclosed in the attached application <b>has not and will not be</b> the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).</p>	

Name	McDonnell Boehnen Hulbert & Berghoff
Address	32 <sup>nd</sup> Floor, 300 South Wacker Drive
City, State, Zip	Chicago, Illinois 60606
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
Name/Reg. No.	Brian R. Harris 45,900
Signature	
Date	March 19, 2004